



Cryptography and Security

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Version 3



Lecture 11: Hash and Keyed Hash Functions

Main Topics of this Lecture

1. Hash functions and general design requirements.
2. Keyed hash functions and general design requirements.
3. The HMAC



Part I: Hash Functions



Hash Functions

Formal definition: A **hash function** h is a mapping from the set of all finite strings of characters from an alphabet \mathcal{A}_1 to a string of characters from an alphabet \mathcal{A}_2 with fixed length.

For any x , $h(x)$ is called the **hash value**, or **message digest**.

Remark: A hash function h is publicly known for many applications.



The Hash Function f in the Digital Signature Scheme

The digital signature scheme in Lecture 7: It has two building blocks, a hash function f and a public-key cipher, where f is in the public domain.

- Bob sends $m || D_{k_d^{(B)}}(f(m))$ to Alice, where $k_d^{(B)}$ is Bob's private key.
- After receiving a message from Bob, Alice will do signature verification.

Alice can try to forge Bob's signature as follows:

1. Find a different message m' such that $f(m) = f(m')$ (a collision).
2. If this is successful, Alice claims that Bob sent her $m' || D_{k_d^{(B)}}(f(m))$.

Requirement: For any given message x , it is computationally infeasible to find y such that $h(x) = h(y)$ (**weak collision resistance property**).



Requirements for Hash Functions

Remark: Hash functions for different applications may be required to have different properties.

1. $h(x)$ is easy to compute for any given x , making both hardware and software implementation practical.
2. For any given value v , it is computationally infeasible to find x such that $h(x) = v$. This is the **one-way property**. [E.g., the digital signature scheme, Unix password file.]
3. For any given x , it is computationally infeasible to find y such that $h(x) = h(y)$. This is the **weak collision resistance property**. [E.g., the digital signature scheme]



Requirements for Hash Functions (Continued)

Requirements implied by the ones listed in the previous page:

- The size of the hash value $h(x)$ should be large enough (256 bits recommended), in order to thwart the brute-force attack.
- $h(x)$ should take on all the finite strings of fixed length as equally likely as possible. That is, the hash values are as uniformly distributed as possible.



The MD5 Hash Function

- It was designed in 1991 by Ron Rivest at MIT.
- The size of the hash values of MD5 is 128 bits. For example,
$$\text{MD5}(\text{"The quick brown fox jumps over the lazy dog"})$$
$$= 9\text{e}107\text{d}9\text{d}372\text{b}\text{b}6826\text{b}\text{d}81\text{d}3542\text{a}419\text{d}6$$
- It is widely used in real security systems.
- In 2004, collisions of MD5 were found. This may not be a threat for real applications.



The SHA-1, SHA-2 and SHA-3 Hash Functions

- SHA-1 was designed in 1995 by the NSA.
- The size of the hash values of SHA-1 is 160 bits. For example,
`SHA1("The quick brown fox jumps over the lazy dog")`
`= 2fd4e1c6 7a2d28fc ed849ee1 bb76e739 1b93eb12`
- It is widely used in real security systems.
- In 2006, collisions of SHA-1 were found. This may not be a threat for real applications.
- So new versions of SHA were developed: SHA-256, SHA-224, SHA-512, SHA-384. They are called SHA-2.
- SHA-3 (called Keccak) was announced in Oct. 2012 by NIST.



Part II: Keyed Hash Functions



Keyed Hash Functions

Formal definition: A **keyed hash function** h_k is a mapping from the set of all finite strings of characters from an alphabet A to a string of characters from an alphabet B with fixed length, where k is a secret parameter from a space \mathcal{K} .

For any x , $h_k(x)$ is called the **hash value** or **message authentication code (MAC)**.

Applications: Authentication.



Design Requirements for Keyed Hash Functions

Authentication protocol using a keyed hash function: Suppose that Alice and Bob share a secret key for a keyed hash function. The protocol works as follows:

$$\text{Alice} \implies m || h_k(m) \implies \text{Bob}$$

Suppose that the enemy has total control of the communication channel. Then we have the following security requirement.

Requirement: Given a number of pairs $(m, h_k(m))$, it should be computationally hard to find out the secret key k .

Attention: This protocol is used in many real-world security systems for sender authentication and data integrity checking purpose.



The First Example of Keyed Hash Functions

Example: Let h be a hash function with hash value of 256 bits. Define $h_k(m) := h(m) \oplus k$, where k is a secret key of 256 bits. Then h_k is a keyed hash function.

Security: Does h_k meet the requirement in the previous slide?



The Second Example of Keyed Hash Functions

Example: Let E_k be the encryption transformation of one-key cipher and h be a hash function. Then $h_k := E_k \circ h$ is a keyed hash function, where \circ denotes the function composition.

Security: It should have good properties if both the one-key cipher and the hash function are well designed.

Remark: Left to students.



Part III: the HMAC



HMAC: A Specific Construction

- h a hash function, with n -bit hash value.
- b is a chosen positive integer and $8|b$.
- K is the secret key with size at most b bits.
- \overline{K} is K padded with 0's on the left so that the result is b bits in length.
- $\text{ipad} = 00110110$ repeated $b/8$ times.
- $\text{opad} = 01011100$ repeated $b/8$ times.

$$\text{HMAC}_K(m) = h\{(\overline{K} \oplus \text{opad}) || h[(\overline{K} \oplus \text{ipad}) || m]\}.$$



Some Questions about the Design of HMAC

- What is the purpose of using the two constant binary strings?
- Why are the ipad and opad designed in that way?
- Why h is used twice?



Security of the HMAC

Conclusion: It depends in some way on the cryptographic strength of the underlying hash function. For details, see:

M. Bellare, R. Canetti and H. Krawzyk, *Keying hash functions for message authentication*, Advances in Cryptology – Crypto' 96, LNCS 1109, Springer-Verlag, 1996.